

ITEM 13 APPENDIX 1

PROGRESS MONITORING REPORT to March 2009 – AUDIT COMMITTEE

Title of Agenda Item	Concern/Cause	Action Proposed	Responsibility	By when	Action Taken	Status
MEETING HELD ON 27 MARCH 2008						
1. Self Assessment of the Effectiveness of the Audit Committee (Item 12 on the agenda)	Members agreed the following areas where action could be taken to further improve the Committee's effectiveness. (cf. Appendix 1 to the report)					
2.f	Has the Audit Committee (with delegated responsibility) or the Full Council adopted 'Managing the Risk of Fraud – Actions to Counter Fraud and Corruption'?	Internal audit review of compliance with this framework will be reported back to Committee 25/06/08	Neil Pitman	June 2008	The action plan against 'Managing the Risk of Fraud' was reported to the Audit Committee 24 September 2008. Actions are on schedule for full implementation by the agreed date (31 March 2009) (as 2.f)	ONGOING
2.g	Does the Audit Committee ensure that the 'Actions to Counter Fraud and Corruption' are being implemented?	See 2.f				ONGOING

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5.d	Does the Audit Committee ensure that officers are monitoring action taken to implement external audit recommendations?	Progress to be reported along similar lines to actions arising from internal audit work	Neil Pitman	September 2008	To be accommodated through implementation of audit management software. Team Central currently being piloted.	ONGOING
5.e	Are reports on the work of external audit and other inspection agencies presented to the committee, including the Audit Commission's annual audit and inspection letter?	Needs to be strengthened – Audit Commission reports are now automatically presented, but needs extending to other inspection agencies (ALL ACTION POINTS)	Neil Pitman	September 2008	Work to identify reports to be submitted to the Audit Committee, is progressing. Assurance mapping is being undertaken as part of the Annual Governance Statement (controls assessment checklist)	ONGOING
MEETING HELD ON 24 SEPTEMBER 2008						
3. Audit Commission: Audit and Inspection Plan Progress Report		(ii) that the External Audit Manager, Audit Commission would certify completion of the City's accounts as soon as the questions raised by two electors had been answered. (Minute No 18(ii))	External Audit Manager, Audit Commission		Additional letter received November 2008. Continuing to review the issues raised.	ONGOING

Meeting Held on 11 December 2008

<p>4. Internal Audit: Review of the Annual Operational Plan</p>		<p>That the revised Annual Operational Internal Audit Plan for 2008/09 be approved subject to future plans being amended to incorporate details of recipients of projects</p>	<p>Neil Pitman</p>	<p>June 09</p>	<p>Report to be amended to incorporate project recipients.</p>	<p>ONGOING</p>
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